



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,621	05/05/2001	Phil Delurgio	DT:0102

CONFIRMATION NO. 1510

## FORMALITIES LETTER



\*OC000000006249119\*

RICHARD K. HUFFMAN  
1832 N. Cascade Ave.  
Colorado Springs, CO 80907

Date Mailed: 07/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for 15 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

08/03/2001 CNGUYEN 00000088 09849621

01 FC:201	355.00 OP
02 FC:203	135.00 OP
03 FC:205	65.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



08-02-01

SECTION  
#  
#A

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Phil Delurgio, Hau Lee, Michael Neal, Rob Parkin Suzanne Valentine, Krishna Venkatraman
Docket:	DT:0102
Serial No.:	09849621
Filing Date:	5/5/2001
Title:	APPARATUS FOR MERCHANDISE PROMOTION OPTIMIZATION

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts, dated July 2, 2001 with a statutory period of response set to expire September 2, 2001, Applicant is enclosing herewith:

- executed declaration of the inventors and combined power of attorney
- an assignment of the invention to Demand Tec Inc. with cover page
- 5 a check in the amount of \$555 to cover the filing fee and the \$65 surcharge (small entity)
- a check in the amount of \$40 for recordation of the assignment
- a copy of the Notice to File Missing Parts of Nonprovisional Application

10 Respectfully submitted,

15 James W. Huffman  
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Date: 7/31/01

"EXPRESS MAIL" mailing label number	EL67940339BUS
Date of Deposit	7/31/01
I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 C.F.R. §1.10 on the date shown above and is addressed to the U.S. Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
By:	Frederick Bristol